

Format of holding of specified securities

- 1 Name of Listed Entity/ CRA : **Brickwork Ratings India Private Limited**
- 2 Scrip Code/Name of Scrip/Class of Security: **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 1(1)(b)/Reg.31(1)(c)
 - a If under 31(1)(b) then indicate the report for Quarter ending, **September 30,2019**
 - b If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable
- 4 Declaration: The Listed entity/CRA is required to submit the following declaration to the extent of submission of information

Sl. No.	Particulars	Yes*	No*
1	Whether the Listed Entity/CRA has issued any partly paid up shares?		No
2	Whether the Listed Entity/CRA has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity/CRA has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity/CRA has any shares in locked in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity/CRA selects the option No for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange Website. Also wherever there is 'No' declared by Listed Entity/CRA in above table, the values will be considered as Zero by default on submission of the format of holding of specified securities.

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class Equity Shares	Total								
(A)	If under 31(1)(b) then indicate the report for Quarter ending, September 30, 2019	5	5066587	0	0	5066587	99.99842%	5066587	5066587	99.99842%	0	0	0	0	0	0	936856
(B)	Public	8	80	0	0	80	0.00158%	80	80	0.00158%	0	0	0	0	0	0	0
©	Non Promoter Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	13	5066667	0	0	5066667	1	5066667	0	5066667	1	0	0	0	0	0	936856

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class of Equity Shares	Total									
1 If under 31(1)(b) then indicate the report for Quarter ending, September 30,2019																		
(a) Individuals/Hindu undivided Family																		
1 Vivek Kulkarni	AIEPK5218J	1	2430516	NA	NA	2430516	47.9707%	2430516	NA	2430516	47.9707%	NA	NA	NA	NA	NA	NA	NIL
2 Sangeeta Kulkarni	APYPS9625Q	1	1010913	NA	NA	1010913	19.9522%	1010913	NA	1010913	19.9522%	NA	NA	NA	NA	NA	NA	NIL
3 Balasubramanian N	ADSPB7706M	1	430189	NA	NA	430189	8.4906%	430189	NA	430189	8.4906%	NA	NA	NA	NA	NA	NA	430189
4 Dandapani Ravishankar	AAFPR1862E	1	688302	NA	NA	688302	13.5849%	688302	NA	688302	13.5849%	NA	NA	NA	NA	NA	NA	NIL
(b) Central Government/ State Government(s)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(c) Financial institutions/ Banks																		
© Canara Bank	AAACC6106G	1	506667	NA	NA	506667	10.0000%	506667	NA	506667	10.0000%	NA	NA	NA	NA	NA	NA	506667
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(d) Any Other (specify)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Sub-Total (A)(1)		5	5066587	NA	NA	5066587	99.9984%	5066587	NA	5066587	99.9984%	NA	NA	NA	NA	NA	NA	936856
2 Foreign		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(a) Individuals (NonResident Individuals/ Foreign Individuals)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(b) Government		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class of Equity Shares		Total								
Name (xyz...)	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
Ⓒ Institutions	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
Name (xyz...)	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
(d) Foreign Portfolio Investor	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
Name (xyz...)	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
(e) Any Other (specify)	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
Name (xyz...)	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
Sub-Total (A)(2)	0	0	0	0	0	0	0.0000%	0	0	0.0000%	0	0	0	0	0	0	0	
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			5	5066587	NA	NA	5066587	99.9984%	5066587	NA	5066587	99.9984%	NA	NA	NA	NA	NA	936856

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity	Partly paid-up equity	Nos. of shares underlying	Total nos. shares held VII =	Shareholding % calculated as per SCRR	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding	Total shareholding, as a %	Total shareholding, as a % assuming full conversion of convertible securities (as a		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares		
									No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (No t applicable) (a)	As a % of total shares			
									Class X	Class Y	Total									
1	If under 31(1)(b) then indicate the report for Quarter ending, September 30,2019	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(a)	Mutual Funds/ Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(b)	Venture Capital Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(c)	Alternate Investment Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(d)	Foreign Venture Capital Investors Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(e)	Foreign Portfolio Investors Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(f)	Financial Institutions/ Banks Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(g)	Insurance Companies Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(h)	Provident Funds/ Pension Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(i)	Any Other (specify)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Indian Corporates	NA	3	30	NA	NA	30	0.00059%	NA	NA	NA	NA	NA	0.00059%	30	0.00059%	NA	NA	NA	NA
	Non Profit Societies	NA	2	20	NA	NA	20	0.00039%	NA	NA	NA	NA	NA	0.00039%	20	0.00039%				
	Sub-Total (B)(1)	NA	5	50	NA	NA	50	0.00099%	NA	NA	NA	NA	NA	0.00099%	50	0.00099%	NA	NA	NA	NA
2	Central Government/ State Government(s)/ President of India Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Sub-Total (B)(2)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
3	Non-institutions	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	NA	3	30	NA	NA	30	0.00059%	NA	NA	NA	NA	NA	0.00059%	30	0.00059%	NA	NA	NA	NA
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(b)	NBFCs registered with RBI Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(c)	Employee Trusts Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(d)	Overseas Depositories (holding DRs) (balancing figure) Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(e)	Any Other (specify) Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Sub-Total (B)(3)	NA	3	30	NA	NA	30	0.00059%	NA	NA	NA	NA	NA	0.00059%	30	0.00059%	NA	NA	NA	NA
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	NA	8	80	NA	NA	80	0.00158%	NA	NA	NA	NA	NA	0.00158%	80	0.00158%	NA	NA	NA	NA

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)		
								No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares			
								Class Equity Shares	Class Y									Total	
1	If under 31(1)(b) then indicate the report for Quarter ending, September 30,2019																		
(a)	Name of DR Holder (if available)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(i)	abc,...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(ii)	efg,...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a)	Name (abc...)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	NIL	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA