

**Format of holding of specified securities**

- 1 Name of Listed Entity/ CRA : **Brickwork Ratings India Private Limited**
- 2 Scrip Code/Name of Scrip/Class of Security: **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 1(1)(b)/Reg.31(1)(c)
  - a If under 31(1)(b) then indicate the report for Quarter ending, **30 September 2020**
  - b If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable
- 4 Declaration: The Listed entity/CRA is required to submit the following declaration to the extent of submission of information

Sl. No.	Particulars	Yes*	No*
1	Whether the Listed Entity/CRA has issued any partly paid up shares?		No
2	Whether the Listed Entity/CRA has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity/CRA has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity/CRA has any shares in locked in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity/CRA selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange Website. Also wherever there is 'No' declared by Listed Entity/CRA in above table, the values will be considered as Zero by default on submission of the format of holding of specified securities.

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of voting Rights	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class Equity Shares	Total								
(A)	If under 31(1)(b) then indicate the report for Quarter ending, <b>March 31 2020</b>	5	48,13,254	0	0	48,13,254	94.99843%	48,13,254	48,13,254	94.99843%	0	0	0	0	0	0	9,36,856
(B)	Public	8	2,53,413	0	0	2,53,413	5.00157%	2,53,413	2,53,413	5.00157%	0	0	0	0	0	0	0
©	Non PromoterNon Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Total</b>	<b>13</b>	<b>50,66,667</b>	<b>-</b>	<b>-</b>	<b>50,66,667</b>	<b>1</b>	<b>50,66,667</b>	<b>-</b>	<b>50,66,667</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>9,36,856</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class of Equity Shares	Total									
1 If under 31(1)(b) then indicate the report for Quarter ending, <b>March 31,2020</b>																		
(a) Individuals/Hindu undivided Family																		
1 Vivek Kulkarni Sangeeta	AIEPK5218J	1	21,77,183	NA	NA	21,77,183	42.9707%	21,77,183	NA	21,77,183	42.9707%	NA	NA	NA	NA	NA	NA	NIL
2 Kulkarni Balasubramanian N	APYPS9625Q	1	10,10,913	NA	NA	10,10,913	19.9522%	10,10,913	NA	10,10,913	19.9522%	NA	NA	NA	NA	NA	NA	NIL
3 Dandapani Ravishankar	ADSPB7706M	1	4,30,189	NA	NA	4,30,189	8.4906%	4,30,189	NA	4,30,189	8.4906%	NA	NA	NA	NA	NA	NA	4,30,189
4	AAFPR1862E	1	6,88,302	NA	NA	6,88,302	13.5849%	6,88,302	NA	6,88,302	13.5849%	NA	NA	NA	NA	NA	NA	6,88,302
(b) Central Government/ State Government(s)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
(c) Financial Institutions/ Banks																		
Canara Bank	AAACC6106G	1	5,06,667	NA	NA	5,06,667	10.0000%	5,06,667	NA	5,06,667	10.0000%	NA	NA	NA	NA	NA	NA	5,06,667
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
(d) Any Other (specify)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
Sub-Total (A)(1)		5	48,13,254	NA	NA	48,13,254	94.9984%	48,13,254	NA	48,13,254	94.9984%	NA	NA	NA	NA	NA	NA	16,25,158
2 Foreign	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
(a) Individuals (NonResident Individuals/ Foreign Individuals)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	-	0.0000%	0	0	0	0	0	0	0

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class of Equity Shares	Total									
(b) Government	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
(c) Institutions	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
(d) Foreign Portfolio Investor	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
(e) Any Other (specify)	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
Name (xyz...)	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
Sub-Total (A)(2)	0	0	-	-	-	-	0.0000%	-	-	0.0000%	0	0	0	0	0	0	0	
<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>		5	48,13,254	NA	NA	48,13,254	94.9984%	48,13,254	NA	48,13,254	94.9984%	NA	NA	NA	NA	NA	NA	9,36,856

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity share	Partly paid-up equity	Nos. of shares underlying	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR,	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying	Total shareholding, as a %	Total shareholding, as a % assuming full conversion of convertible securities (as a		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares	
									No of Voting Rights			Total as (XIV) a % of Total Voting			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares		
									Class X	Class Y	Total									
1	If under 31(1)(b) then indicate the report for Quarter ending, <b>March 31 2020</b>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a)	Mutual Funds/ Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(b)	Venture Capital Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(c)	Alternate Investment Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(d)	Foreign Venture Capital Investors Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(e)	Foreign Portfolio Investors Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(f)	Financial Institutions/ Banks Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(g)	Insurance Companies Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(h)	Provident Funds/ Pension Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(i)	Any Other (specify)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Indian Corporates	NA	3	30	NA	NA	30	0.00059%	NA	NA	NA	NA	NA	0.00059%	30	0.00059%	NA	NA	NA	NA
	Non Profit Societies	NA	2	20	NA	NA	20	0.00039%	NA	NA	NA	NA	NA	0.00039%	20	0.00039%				
	<b>Sub-Total (B)(1)</b>	<b>NA</b>	<b>5</b>	<b>50</b>	<b>NA</b>	<b>NA</b>	<b>50</b>	<b>0.00099%</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>0.00099%</b>	<b>50</b>	<b>0.00099%</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>
2	Central Government/ State Government(s)/ President of India Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Sub-Total (B)(2)</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>
3	Non-institutions	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	NA	1	10	NA	NA	10	0.00020%	NA	NA	NA	NA	NA	0.00020%	10	0.00020%	NA	NA	NA	NA

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity share	Partly paid-up equity	Nos. of shares underlying	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR,	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying	Total shareholding, as a %	Total shareholding, as a % assuming full conversion of convertible securities (as a		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares		
									Class X	Class Y	Total			Total as (XIV) a % of Total Voting	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares	
																				No of Voting Rights
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	NA	2	2,53,353	NA	NA	2,53,353	5.00039%	NA	NA	NA	NA	5.00039%	2,53,353	5.00039%	NA	NA	NA	NA	
	1. Reecha Kulkarni	CWMPK0486Q		1,26,677	NA	NA	1,26,677	2.50020%	NA	NA	NA	NA	2.50020%	1,26,677	2.50020%					
	2. Shruti Kulkarni	FMLPK0248A		1,26,676	NA	NA	1,26,676	2.50018%	NA	NA	NA	NA	2.50018%	1,26,676	2.50018%					
(b)	NBFCs registered with RBI	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(c)	Employee Trusts	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(d)	Overseas Depositories (holding DRs) (balancing figure)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(e)	Any Other (specify)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	<b>Sub-Total (B)(3)</b>	NA	<b>3</b>	<b>2,53,363</b>	NA	NA	<b>2,53,363</b>	<b>5.00059%</b>	NA	NA	<b>0</b>	<b>0</b>	<b>0</b>	<b>5.00059%</b>	<b>2,53,363</b>	<b>5.00059%</b>	NA	NA	NA	NA
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>	NA	<b>8</b>	<b>2,53,413</b>	NA	NA	<b>2,53,413</b>	<b>5.00157%</b>	NA	NA	<b>0</b>	<b>0</b>	<b>0</b>	<b>5.00157%</b>	<b>2,53,413</b>	<b>5.00157%</b>	NA	NA	NA	NA

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)		
								No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares			
								Class Equity Shares	Class Y									Total	
1	If under 31(1)(b) then indicate the report for Quarter ending, <b>March 31, 2020</b>																		
(a)	Name of DR Holder (if available)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(i)	abc,...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(ii)	efg,...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a)	Name (abc...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	NIL	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA