

Format of holding of specified securities

- 1 Name of Listed Entity/ CRA : **Brickwork Ratings India Private Limited**
- 2 Scrip Code/Name of Scrip/Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 1(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending, **30 September 2021**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment: **Not Applicable**
- 4 **Declaration:** The Listed entity/CRA is required to submit the following declaration to the extent of submission of information

Sl. No.	Particulars	Yes*	No*
1	Whether the Listed Entity/CRA has issued any partly paid up shares?		No
2	Whether the Listed Entity/CRA has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity/CRA has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity/CRA has any shares in locked in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

* If the Listed Entity /CRA selects the option No for the questions above , the columns for the partly paid up shares , Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No. of shares pledged or otherwise encumbered by promoters , as applicable, shall not be displayed at the time of dissemination on the Stock Exchange Website . Also wherever there is 'No' declared by Listed Entity /CRA in above table, the values will be considered as Zero by default on submission of the format of holding of specified securities.

Table I - Summary Statement holding of specified securities as on 30 September 2021

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class Equity Shares					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class: X	Class: Y	Total								
(A)	Promoter & Promoter group	5	48,13,264	0	0	48,13,264	94.99863%	48,13,264	0	48,13,264	94.99863%	0	0	0	0	0	0	17,97,234
(B)	Public	7	2,53,403	0	0	2,53,403	5.00137%	2,53,403	0	2,53,403	5.00137%	0	0	0	0	0	0	0
(C)	Non PromoterNon Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	12	50,66,667	-	-	50,66,667	100%	50,66,667	-	50,66,667	100%	-	-	-	-	-	-	17,97,234

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class Equity Shares		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class of Equity Shares	Total									
1 Indian								Class: X	Class: Y									
(a) Individuals/Hindu undivided Family																		
1 Vivek Kulkarni	AIEPK5218J	1	21,77,183	NA	NA	21,77,183	42.9707%	21,77,183	NA	21,77,183	42.9707%	NA	21,77,183	NA	NA	NA	NA	4,30,189
2 Sangeeta Kulkarni	APYPS9625Q	1	10,10,923	NA	NA	10,10,923	19.9524%	10,10,923	NA	10,10,923	19.9524%	NA	10,10,923	NA	NA	NA	NA	4,30,189
3 Balasubramanian N	ADSPB7706M	1	4,30,189	NA	NA	4,30,189	8.4906%	4,30,189	NA	4,30,189	8.4906%	NA	4,30,189	NA	NA	NA	NA	4,30,189
4 Dandapani Ravishankar	AAFPR1862E	1	6,88,302	NA	NA	6,88,302	13.5849%	6,88,302	NA	6,88,302	13.5849%	NA	6,88,302	NA	NA	NA	NA	0
(b) Central Government/ State Government(s)																		
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(c) Financial Institutions/ Banks																		
Canara Bank	AAACC6106G	1	5,06,667	NA	NA	5,06,667	10.0000%	5,06,667	NA	5,06,667	10.0000%	NA	5,06,667	NA	NA	NA	NA	5,06,667
(d) Any Other (specify)																		
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Sub-Total (A)(1)		5	48,13,264	NA	NA	48,13,264	94.9986%	48,13,264	NA	48,13,264	94.9986%	NA	48,13,264	NA	NA	NA	NA	17,97,234
2 Foreign																		
(a) Individuals (NonResident Individuals/ Foreign Individuals)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(b) Government																		
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(c) Institutions																		
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor																		
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
(e) Any Other (specify)																		
Name (xyz...)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Sub-Total (A)(2)		0	0	0	0	0	0.0000%	0	0	0	0.0000%	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	48,13,264	-	NA	48,13,264	94.9986%	48,13,264	NA	48,13,264	94.9986%	NA	48,13,264	NA	NA	NA	NA	17,97,234

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class Equity Shares					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
1	Institutions																		
(a)	Mutual Funds/ Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(b)	Venture Capital Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(c)	Alternate Investment Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(d)	Foreign Venture Capital Investors Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(e)	Foreign Portfolio Investors Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(f)	Financial Institutions/ Banks Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(g)	Insurance Companies Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(h)	Provident Funds/ Pension Funds Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(i)	Any Other (specify)																		
	Brickwork India Private Limited	AACCB7333E	1	10	NA	NA	10	0.00020%	10	NA	10	0.00020%	NA	0.00020%	10	0.00020%	NA	NA	NA
	BrickworkHealth Services Private Limited	AADCB4039L	1	10	NA	NA	10	0.00020%	10	NA	10	0.00020%	NA	0.00020%	10	0.00020%	NA	NA	NA
	B2K Services Private Limited	AACCB7334D	1	10	NA	NA	10	0.00020%	10	NA	10	0.00020%	NA	0.00020%	10	0.00020%	NA	NA	NA
	Brickwork Foundation	AAAAB9146M	1	10	NA	NA	10	0.00020%	10	NA	10	0.00020%	NA	0.00020%	10	0.00020%	NA	NA	NA
	Brickwork Finance Academy	AAAAB9745L	1	10	NA	NA	10	0.00020%	10	NA	10	0.00020%	NA	0.00020%	10	0.00020%			
	Sub-Total (B)(1)		5	50	NA	NA	50	0.00099%	50	0	50	0.00099%	NA	0.00099%	50	0.00099%	NA	NA	NA

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class Equity Shares					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
2	Central Government/ State Government(s)/ President of India																		
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Sub-Total (B)(2)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
3	Non-institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																		
	1. Reecha Kulkarni	CWMPK0486Q	1	1,26,677	NA	NA	1,26,677	2.50020%	126677	NA	126677	2.50020%	NA	2.50020%	1,26,677	2.50020%	NA	NA	NA
	2. Shruti Kulkarni	FMPLPK0248A	1	1,26,676	NA	NA	1,26,676	2.50018%	126676	NA	126676	2.50018%	NA	2.50018%	1,26,676	2.50018%	NA	NA	NA
(b)	NBFCs registered with RBI																		
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(c)	Employee Trusts																		
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(e)	Any Other (specify)																		
	Name (Xyz)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Sub-Total (B)(3)	NA	2	2,53,353	NA	NA	2,53,353	5.00039%	2,53,353	NA	2,53,353	5.00039%	NA	5.00039%	2,53,353	5.00039%	NA	NA	NA
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	NA	7	2,53,403	NA	NA	2,53,403	5.00137%	2,53,403	NA	2,53,403	5.00137%	NA	5.00137%	2,53,403	5.00137%	NA	NA	NA

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class Equity Shares					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
1	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(i)	abc,...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(ii)	efg,...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
(a)	Name (abc...	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	NIL	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

