

Brickwork Rating India Private Limited, Bangalore

Annexure VI - List of Defaults Separately for Each Rating Category As on Sep 30, 2019

Annexure VI A - Long Term Scale-Securities

Sl.No	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs Millions)	Listing Status (Listed/Unlisted)	Rating Prior to Default	Trigger Event	Date of Trigger of event /receiving intimation	Date of Default Recognition
Rating prior to Default--AAA Category									
NIL									
Rating prior to Default--AA Category									
NIL									
Rating prior to Default--A Category									
1	Sintex Industries Limited	Textile & Accessories	Non Convertible Debentures	5000.00	Listed	BWR A	Public Disclosure by Com	12-Jun-19	12-Jun-19
Rating prior to Default--BBB Category									
1	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	10000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
2	Dewan Housing Finance Corp	Financial Services	Mobilization of Fixed Deposit	90000.00	Listed	BWR FBBS +	Intimation by Issuer	04-Jun-19	5-Jun-19
3	Dewan Housing Finance Corp	Financial Services	Subordinated Debt	2500.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
4	Dewan Housing Finance Corp	Financial Services	Subordinated Debt	2500.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
5	Dewan Housing Finance Corp	Financial Services	Innovative Perpetual Debt Instrum	3000.00	Listed	BWR BBB	Intimation by Issuer	04-Jun-19	5-Jun-19
6	Dewan Housing Finance Corp	Financial Services	Subordinated Debt	4000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
7	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	5000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
8	Dewan Housing Finance Corp	Financial Services	Subordinated Debt	1500.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
9	Dewan Housing Finance Corp	Financial Services	Subordinated Debt	2000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
10	Dewan Housing Finance Corp	Financial Services	Long Term NCD	6500.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
11	Dewan Housing Finance Corp	Financial Services	Long Term NCD	5000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
12	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	5000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
13	Dewan Housing Finance Corp	Financial Services	Long Term NCD	7500.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
14	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	10000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
15	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	10000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
16	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	10000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
17	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	10000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
18	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	40000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
19	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	100000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
20	Dewan Housing Finance Corp	Financial Services	Innovative Perpetual Debt Instrum	5000.00	Listed	BWR BBB	Intimation by Issuer	04-Jun-19	5-Jun-19
21	Dewan Housing Finance Corp	Financial Services	Innovative Perpetual Debt Instrum	5000.00	Listed	BWR BBB	Intimation by Issuer	04-Jun-19	5-Jun-19
22	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	150000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
23	Dewan Housing Finance Corp	Financial Services	Non Convertible Debentures	51000.00	Listed	BWR BBB +	Intimation by Issuer	04-Jun-19	5-Jun-19
24	Manyata Developers Pvt. Ltd.	Real Estate	Non Convertible Debentures	4472.70	Unlisted	BWR BBB	Intimation by Issuer	03-Jul-19	5-Jul-19
25	Reliance Big Private Limited	Financial Services	Non Convertible Debentures	4000.00	Unlisted	BWR BBB - (SO)	Intimation by Debenture	21-Jun-19	21-Jun-19
26	Reliance Business Broadcast N	Media & Entertainment	Non Convertible Debentures	3600.00	Unlisted	BWR BBB (SO)	Intimation by Debenture	13-Sep-19	13-Sep-19
27	Reliance Business Broadcast N	Media & Entertainment	Non Convertible Debentures	1800.00	Unlisted	BWR BBB (SO)	Intimation by Debenture	13-Sep-19	13-Sep-19
28	Reliance Endeavour Managem	Power	Non Convertible Debentures	5120.00	Unlisted	BWR BBB - (SO)	Non-fulfillment of fundin	18-Jun-19	19-Jun-19
29	Reliance Infrastructure Consul	Financial Services	Non Convertible Debentures	4200.00	Unlisted	BWR BBB - (SO)	Intimation by Debenture	21-Jun-19	21-Jun-19
Rating prior to Default--BB Category									
1	Essel Green Energy Pvt. Ltd.	Power	Non Convertible Debentures	3000.00	Unlisted	BWR BB	Intimation by Debenture	02-Apr-19	3-Apr-19
2	Orchids Apartments Private Li	Real Estate	Non Convertible Debentures	800.00	Unlisted	BWR BB	Intimation by Debenture	03-Jul-19	4-Jul-19
3	Orchids Apartments Private Li	Real Estate	Non Convertible Debentures	1024.00	Unlisted	BWR BB	Intimation by Debenture	03-Jul-19	4-Jul-19
4	Reliance Capital Ltd.	Financial Services	Subordinated Tier II NCD	15000.00	Listed	BWR BB	Intimation by Debenture	11-Sep-19	25-Sep-19
5	Reliance Capital Ltd.	Financial Services	Non Convertible Debentures	110000.00	Listed	BWR BB	Intimation by Debenture	11-Sep-19	25-Sep-19
6	Reliance Capital Ltd.	Financial Services	Principal Protected Market Linked	1000.00	Listed	BWR PP-MLD BB	Intimation by Debenture	11-Sep-19	25-Sep-19
7	Sara Estates Pvt Ltd	Real Estate	Non Convertible Debentures	1781.00	Unlisted	BWR BB -	Intimation by Issuer	09-Apr-19	26-Apr-19
8	Vismaya Developers Pvt Ltd	Real Estate	Non Convertible Debentures	1200.00	Unlisted	BWR BB	Intimation by Debenture	03-Jul-19	4-Jul-19
9	Vismaya Developers Pvt Ltd	Real Estate	Non Convertible Debentures	1538.00	Unlisted	BWR BB	Intimation by Debenture	03-Jul-19	4-Jul-19
Rating prior to Default--B Category									
1	Sara Estates Pvt Ltd	Real Estate	Non Convertible Debentures	219.00	Unlisted	BWR A4	Intimation by Issuer	09-Apr-19	26-Apr-19
2	Viceroy Bangalore Hotels Pvt.	Hotels & Tourism	Non Convertible Debentures	1200.00	Unlisted	BWR B	Intimation by Debenture	18-Sep-19	18-Sep-19
Rating prior to Default--C Category									
1	Aliens Developers Private Limi	Real Estate	Non Convertible Debentures	1500.00	Unlisted	BWR C -	Intimation by Debenture	03-May-19	6-May-19
2	Ansal Phalak Infrastructure Pv	Real Estate	Non Convertible Debentures	650.00	Unlisted	BWR C	Intimation by Debenture	27-Sep-19	27-Sep-19
3	Ansal Phalak Infrastructure Pv	Real Estate	Non Convertible Debentures	1000.00	Unlisted	BWR C	Intimation by Debenture	27-Sep-19	27-Sep-19
4	Cox & Kings Ltd	Hotels & Tourism	Non Convertible Debentures	500.00	Listed	BWR C	Intimation by Issuer	16-Jul-19	17-Jul-19
5	Reliance Commercial Finance	Financial Services	Non Convertible Debentures	10000.00	Unlisted	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
6	Reliance Commercial Finance	Financial Services	Subordinated Debt	1000.00	Unlisted	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
7	Reliance Commercial Finance	Financial Services	Non Convertible Debentures	5000.00	Unlisted	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
8	Reliance Commercial Finance	Financial Services	Non Convertible Debentures	5000.00	Unlisted	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
9	Reliance Commercial Finance	Financial Services	Principal Protected Market Linked	500.00	Unlisted	BWR PP-MLD C	Intimation by Borrower	17-Sep-19	18-Sep-19
10	Reliance Home Finance Ltd.	Financial Services	Subordinated Tier II NCD	4000.00	Listed	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
11	Reliance Home Finance Ltd.	Financial Services	Non Convertible Debentures	26182.70	Listed	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
12	Reliance Home Finance Ltd.	Financial Services	Upper / Lower Tier II Bonds	4357.10	Listed	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19

13	Reliance Home Finance Ltd.	Financial Services	Non Convertible Debentures	10000.00	Listed	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
14	Reliance Home Finance Ltd.	Financial Services	Principal Protected Market Linked	1000.00	Listed	BWR PP-MLD C	Intimation by Borrower	17-Sep-19	18-Sep-19
15	Reliance Home Finance Ltd.	Financial Services	Non Convertible Debentures	20000.00	Listed	BWR C	Intimation by Borrower	17-Sep-19	18-Sep-19
16	Sintex-BAPL Ltd	Miscellaneous	Non Convertible Debentures	2000.00	Unlisted	BWR C	Public Disclosure by Con	14-Aug-19	21-Aug-19
17	Sintex-BAPL Ltd	Miscellaneous	Non Convertible Debentures	9450.00	Unlisted	BWR C	Public Disclosure by Con	14-Aug-19	21-Aug-19